

# DIRECTED CUT TRANSVERSAL PACKING FOR SOURCE-SINK CONNECTED GRAPHS

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Concerning the conjecture that in every directed graph, a maximum packing of directed cut transversals is equal in cardinality to a minimum directed cut, a proof is given for the side coboundaries of a graph. This case includes, and is essentially equivalent to, all source-sink connected graphs, for which Schrijver has given a proof. The method used here first reduces the assertion to a packing theorem for bi-transversals. A packing of bi-transversals of the required size is constructed one edge at a time, by maintaining a Hall-like feasibility condition as each edge is added.

## 1. Introduction

**Transversal Packing Conjecture:** For any finite directed graph, a maximum packing of transversals of directed cuts is equal in cardinality to a minimum directed cut.

Woodall [8] describes this as the Menger dual of the directed cut packing minimax equality (Lucchesi and Younger [5], Lovász [4]). This paper gives a proof of the Conjecture for source-sink connected graphs, a proof that builds the required packing of transversals one edge at a time, by maintaining a Hall-like feasibility condition throughout the construction. A proof of this case has been given by Schrijver [7] in a more general context.

Let  $G$  be a finite graph with *vertex set*  $VG$  and *edge set*  $eG$ . The *coboundary operator*  $\delta$  is a function that takes any subset  $X$  of  $VG$  to the set  $\delta X$  of edges in  $G$  having one end in  $X$  and one end in  $VG - X$ . A *coboundary* in  $G$  is any set of edges that lies in the range of  $\delta$ .

A *directed graph* is a graph in which each edge  $\alpha$  is assigned a *positive end* (or tail)  $p\alpha$  and a *negative end* (or head)  $na$ . A coboundary  $\delta X$  is *directed* if each edge in  $\delta X$  has its positive end in  $X$  or if each edge in  $\delta X$  has its negative end in  $X$ . A *directed cut* is a minimal nonnull directed coboundary. Let  $C$  denote the collection of directed coboundaries of directed graph  $G$ .

For a subcollection  $B$  of  $C$ , a *transversal* of  $B$  is a subset of  $eG$  that has a non-null intersection with each nonnull set in  $B$ . In the statement of the Conjecture, a transversal of  $C$  is called a *transversal of directed cuts*.

For any packing (=disjoint collection) of transversals of  $C$  and any nonnull element  $d$  in  $C$ ,  $|T| \leq |d|$ . This is elementary. The crux of the Conjecture is that every graph contains a pair  $T, d$  of equal size. That is, if  $eG$  is a  $k$ -transversal of  $C$ , then there is in  $eG$  a  $k$ -packing of transversals of  $C$ . A  $k$ -transversal is a subset  $r$  of  $eG$  such that  $|r \cap d| \geq k$  for each nonnull  $d$  in  $C$ . A  $k$ -packing is a disjoint collection consisting of  $k$  elements. Following Seymour [12], we say that transversal  $r$  of  $C$  packs if for the largest integer  $k$  such that  $r$  is a  $k$ -transversal of  $C$ , there is a  $k$ -packing  $T$  of transversals of  $C$  such that  $\bigcup T \subseteq r$ . In this terminology, the Conjecture translates to: For each directed graph  $G$ ,  $eG$  is a transversal of  $C$  that packs. A natural generalization has been formulated by Edmonds and Giles [2]:

**Generalized Conjecture:** Every transversal of  $C$  packs.

Schrijver [6] has constructed a counterexample to the Generalized Conjecture, but not to the basic Conjecture. The Generalized Conjecture is true for source-sink connected graphs. A directed graph is *source-sink connected* if it is acyclic and each source is joined to each sink by a directed path. A *source* is a vertex of invalence zero; a *sink* is a vertex of outvalence zero.

In this paper, we prove the source-sink connected case of the Generalized Conjecture in terms of side coboundaries of an arbitrary directed graph. We now develop this formulation.

Arguments of a directed coboundary  $d$  are defined as follows. The *positive vertex argument* of  $d$  is the minimal subset  $X$  of  $VG$  such that  $d = \delta X$ , and  $X$  contains the positive end of each edge of  $d$ . The *positive (edge) argument*  $pd$  of  $d$  is the set of edges of  $G$  with positive end in  $X$ . The *negative argument*  $nd$  is defined dually. Here we refer to *directional duality*, which interchanges the positive and negative ends of each edge.

Let  $D_n$  be the collection of elements  $d$  in  $C$  such that either  $d = \emptyset$  or  $pd \cap pc \neq \emptyset$  for each nonnull element  $c$  of  $C$ . Define  $D_p$  dually. The union  $D = D_p \cup D_n$  is the collection of *side coboundaries* of  $G$ . Examples of side coboundaries are given in Figure 1. Let  $S_n$  be the collection of  $n$ -minimal (=minimal negative argument) elements of  $D_n - \{\emptyset\}$ ; define  $S_p$  dually. For an acyclic graph,  $S_n$  is the collection of *sink coboundaries*, i.e., coboundaries of the form  $\delta\{y\}$ ,  $y$  a sink. A directed coboundary lies in  $D_n$  iff its negative vertex argument contains no source vertex. A connected directed graph is source-sink connected iff each directed coboundary is a side coboundary, i.e.,  $C = D$ .

**Transversal Packing Theorem:** Every transversal of  $D$  packs.

This Theorem implies, and is implied by, the source-sink connected case of the Generalized Conjecture.

Our first step in proving this Theorem is a reduction to a Bi-transversal Theorem. For a subset  $t$  of  $eG$ , let  $tp$  denote  $t \cap (\bigcup S_p)$ . Define  $tn$  dually. A *bi-transversal* of  $D$  is a set  $t$  of edges such that  $tp$  is a transversal of  $D_p$  and  $tn$  is a transversal of  $D_n$ . A bi-transversal of  $D$  is, in particular, a transversal of  $D$ . A *k-bi-transversal* of  $D$  is a set  $r$  of edges such that  $rp$  is a  $k$ -transversal of  $D_p$  and  $rn$  is a  $k$ -transversal of  $D_n$ . A bi-transversal  $r$  of  $D$  packs if, for the largest integer  $k$  such that  $r$  is a  $k$ -bi-transversal of  $D$ , there is in  $r$  a  $k$ -packing of bi-transversals of  $D$ .

**Bi-transversal Theorem:** Every bi-transversal of  $D$  packs.

In Section 3, the Transversal Packing Theorem is reduced to the Bi-transversal Theorem.

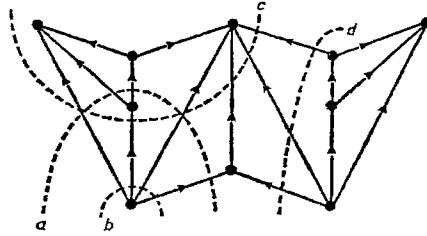


Fig. 1. Side coboundaries  $a$  in  $D_p$ ,  $b$  in  $S_p$ ,  $c$  in  $D_n$ ; directed coboundary  $d$  in  $C-D$

## 2. Meet and join of coboundaries

The domain of the Theorem can be reduced easily to connected graphs. For each directed coboundary  $d$  in a connected graph, the following relations hold:  $pd \cap nd = d$ ;  $pd \cup nd = eG$  if  $d$  is nonnull;  $pd \cup nd = \emptyset$  if  $d$  is null.

For directed coboundaries  $c, d$  in  $D$ , if  $nc \subseteq nd$ , then  $nc - c \subseteq nd - d$ . Dually, if  $pc \subseteq pd$ , then  $pc - c \subseteq pd - d$ . Coboundaries in  $D$  are partially ordered by inclusion of positive arguments; a dual partial ordering in  $D$  is based on inclusion of negative arguments.

For  $c$  in  $D_n$  and  $d$  in  $D$ , there is a coboundary in  $D_n$  with negative argument  $nc \cap nd$ . This is called the  $n$ -meet of  $c$  and  $d$ , and is denoted  $c \wedge_n d$ , or simply  $c \wedge d$  if  $d \in D_n$ . The positive argument is  $pc \cup pd$ . A consequence of the definition is  $c \wedge_n d = c \cap nd \cup d \cap nc$ .

For  $c$  and  $d$  in  $D_n$ , there is a coboundary in  $D_n$  with negative argument  $nc \cup nd$ . It is called the  $n$ -join and is denoted  $c \vee_n d$ , or simply  $c \vee d$ . If each of  $c$  and  $d$  is nonnull, the positive argument is  $pc \cap pd$ . A consequence is  $c \vee_n d = c \cap pd \cup d \cap pc$ .

## 3. Reduction to the Bi-transversal Theorem

We now prove the Transversal Packing Theorem assuming the Bi-transversal Theorem. Let  $r$  be a transversal of the collection  $D$  of side coboundaries of directed graph  $G$ . We interpret the case  $D = \{\emptyset\}$  as satisfying the Theorem and assume hereafter that  $D$  contains a nonnull element. Let  $k$  be the largest integer for which  $r$  is a  $k$ -transversal of  $D$ . Since  $r$  is a transversal of  $D$ ,  $k \geq 1$ .

The basis of induction is the case in which  $r$  is a  $k$ -bi-transversal of  $D$ . By the Bi-transversal Theorem, there is in  $r$  a  $k$ -packing of bi-transversals of  $D$ . Each bi-transversal is a transversal and so the assertion holds.

Assume as induction hypothesis that the assertion holds for every graph  $G'$  and every subset  $r'$  of  $eG'$  such that  $|r'| < |r|$  or  $|r'| = |r|$  and  $|eG'| < |eG|$ .

**Case 1.** For some edge  $\alpha$  in  $r$ ,  $r - \{\alpha\}$  is a  $k$ -transversal of  $D$ .

By induction hypothesis, there is in  $r - \{\alpha\}$  a  $k$ -packing of transversals of  $D$ . This  $k$ -packing satisfies the assertion for  $r$  and  $G$ .

**Case 2.**  $|r \cap d| = k$  for some  $d$  in  $D - Sp \cup Sn$ .

Adjust notation so that  $d \in Dp$ . Let  $D'$  be the collection of side coboundaries of the graph  $G'$ , where  $G'$  is obtained from  $G$  by contracting the edges of  $nd - d$ . Let  $D''$  be the collection of side coboundaries of the graph  $G''$  obtained from  $G$  by contracting the edges of  $pd - d$ . Since  $d$  is, by hypothesis, not in  $Sp$  or  $Sn$ , each of  $|eG'|$  and  $|eG''|$  is strictly smaller than  $|eG|$ . Now  $r' = r \cap pd$  and  $r'' = r \cap nd$  are  $k$ -transversals of  $D'$  and  $D''$ , respectively. By induction hypothesis, there is in  $r'$  a  $k$ -packing  $T'$  of transversals of  $D'$ . Likewise, there is in  $r''$  a  $k$ -packing  $T''$  of transversals of  $D''$ . Let  $T$  be  $\{t' \cup t'' : t' \in T', t'' \in T'', t' \cap d = t'' \cap d\}$ . Since  $|r \cap d| = k$ , each edge of  $r \cap d$  lies in exactly one transversal of  $T'$  and in one transversal of  $T''$ . So  $T$  is a  $k$ -packing of subsets of  $r$ . We assert that each  $t$  in  $T$  is a transversal of  $D$ . This is proved, as in [10], as follows.

Each  $t$  in  $T$  is of the form  $t = t' \cup t''$ . Let  $a$  be any nonnull element of  $D$ , say in  $Dp$ . Let  $\wedge$  here denote the  $p$ -meet,  $\vee$  the  $p$ -join. If  $a \wedge d = \emptyset$ , then  $t \cap a = t'' \cap a \neq \emptyset$ . If  $a \wedge d \neq \emptyset$ , then  $t \cap (a \wedge d) = t' \cap (a \wedge d) \neq \emptyset$  and  $t \cap (a \vee d) = t'' \cap (a \vee d) \neq \emptyset$ . From the modularity relation  $|t \cap (a \wedge d)| + |t \cap (a \vee d)| = |t \cap a| + |t \cap d|$ , since  $|t \cap d| = 1$ , thus  $t \cap a \neq \emptyset$ . So  $t$  is a transversal of  $Dp$ . Likewise,  $t$  is a transversal of  $Dn$ , and thus of all of  $D$ . This case is complete.

**Case 3.**  $|r \cap d| > k$  for each  $d$  in  $D - Sp \cup Sn$ ,  $r = rp \cup rn$ , and  $r$  is not a  $k$ -bi-transversal of  $D$ .

Adjust notation so that  $rn$  is not a  $k$ -transversal of  $Dn$ . There exists a nonnull element  $a$  in  $Dn$  such that  $|rn \cap a| < k$ ; adjust the choice of  $a$  so that it is  $n$ -minimal. Since  $|r \cap a| \geq k$ , there is an edge  $\beta$  in  $(rp - rn) \cap a$ . Now  $a$ , since it contains an edge of  $r - rn$ , does not lie in  $Sn$ . So there is a nonnull element  $a'$  in  $Dn$  such that  $na' \subseteq na - \{\beta\}$ . Adjust the choice of  $a'$  so that it is  $n$ -maximal. By the choice of  $a$ ,  $|rn \cap a'| \geq k$ . Let  $\alpha$  be any edge in  $rn \cap a' - a$ . We then have the following properties: for each  $c$  in  $Dn$  such that  $\alpha \in nc$ ,  $\{\alpha, \beta\}$  intersects  $c$ ; for each  $d$  in  $Dp$  such that  $\beta \in pd$ ,  $\{\alpha, \beta\}$  intersects  $d$ .

Let  $G'$  be the graph obtained from  $G$  by adding a new edge  $\gamma$  with negative end  $na$  and positive end  $p\beta$ . Let  $D' = D'n \cup D'p$  be the collection of side coboundaries of  $G'$ . Then  $D'n$  is the same as  $Dn$  except that  $\gamma$  is added to each  $c$  in  $Dn$  such that  $\alpha \in nc$ . And  $D'p$  is the same as  $Dp$  except that  $\gamma$  is added to each  $d$  in  $Dp$  such that  $\beta \in pd$ . Let  $r' = (r - \{\alpha, \beta\}) \cup \{\gamma\}$ . Since  $|r \cap d| \geq k$  for each  $d$  in  $D$ , with equality only for  $d$  in  $Sp \cup Sn$ , thus  $|r' \cap d| \geq k$  for each  $d$  in  $D'$ , i.e.,  $r'$  is a  $k$ -transversal of  $D'$ . Since  $|r'| < |r|$ , by induction hypothesis there is in  $r'$  a  $k$ -packing  $T'$  of transversals of  $D'$ . Each element of  $T'$  that does not contain  $\gamma$  is a transversal of  $D$ . For the element  $t'$  of  $T'$  that contains  $\gamma$ ,  $t = (t' - \{\gamma\}) \cup \{\alpha, \beta\}$  is a transversal of  $D$ . So  $T = (T' - \{t'\}) \cup \{t\}$  is a  $k$ -packing in  $r$  of transversals of  $D$ . Again the assertion holds.

This completes the proof of the Transversal Packing Theorem under the assumption of the Bi-transversal Theorem.

#### 4. Constructing bi-transversals one edge at a time

In his proof of Edmonds Disjoint Branchings Theorem [1], Lovász [4] finds one branching that saves enough room in each coboundary for the remaining  $k-1$  branchings. This branching is constructed one edge at a time, by successively adding a new edge emanating from the current partial branching. The property required of each new edge is that its choice leaves at least  $k-1$  edges unchosen in each coboundary. Our proof also uses this one edge at a time approach; the properties maintained as each new edge is added are called central and feasible.

A subset  $t$  of  $eG$  is *central* in  $Dn$  if each coboundary  $d$  in  $Dn$  that is disjoint from  $tn$  has  $nd$  disjoint from  $tn$ . Recall that  $tn = t \cap (\cup Sn)$ . Centrality in  $Dp$  is defined dually. A subset  $t$  of  $eG$  is *central* if it is central in  $Dn$  and in  $Dp$ .

The *frontier* in  $Dn$  of subset  $t$  of  $eG$  is the  $n$ -maximal coboundary  $f$  in  $Dn$  that is disjoint from  $tn$ . The *frontier* in  $Dp$  is defined dually. For  $t$  a central subset of  $k$ -bi-transversal  $r$ , and  $\alpha$  any edge of  $rp \cup rn$  that lies in the frontier of  $t$  in  $Dn$  or in the frontier in  $Dp$ , subset  $t \cup \{\alpha\}$  is also central.

A subcollection  $C$  of  $Dn$  is  $n$ -disjoint if  $na \cap nb = \emptyset$  for each distinct  $a, b$  in  $C$ . Define  $p$ -disjoint dually. For  $d$  in  $Dn$ , a *constituent* of  $d$  is an  $n$ -minimal coboundary in  $Dn - \{\emptyset\}$  that is a subset of  $d$ . The collection of constituents of  $d$ , denoted  $Cd$ , is a partition of  $d$  that is  $n$ -disjoint.

Feasibility is defined next. A  $Q$ -coboundary in  $Dp$  is a  $p$ -minimal coboundary  $q$  in  $Dp - \{\emptyset\}$  such that  $rp \cap q \subseteq rn$ . Let  $t$  be a subset of  $k$ -bi-transversal  $r$ . Coboundary  $d$  in  $Dn$  *shades*  $q$  in  $Dp$  if  $rn \cap d \supseteq rp \cap q$ . For  $d$  in  $Dn$ , let  $Qd$  be the collection of  $Q$ -coboundaries in  $Dp$  that are disjoint from  $t$  and shaded by  $d$ . Note that  $Qd$  is  $p$ -disjoint. Subset  $t$  of  $r$  is *feasible* in  $Dn$  if every coboundary  $d$  in  $Dn$  satisfies  $|(r-t)d| \geq ld$ , where  $ld = |Qd| + (k-1)|Cd|$  and  $(r-t)d = (rn - tn) \cap d$ . Feasibility in  $Dp$  is defined dually. A subset  $t$  of  $r$  is *feasible* if it is feasible in  $Dn$  and in  $Dp$ .

For  $d$  in  $Dn$ , and subset  $r$  of  $eG$ , let  $rd$  abbreviate  $rn \cap d$ ; for  $d$  in  $Dp$ , let  $rd$  abbreviate  $rp \cap d$ . Coboundary  $d$  in  $Dn$  shades  $q$  in  $Dp$  if  $rd \supseteq rq$ . Write  $rQd$  for  $r(\cup Qd)$ . Note that for  $d$  in  $Dn$ ,  $rQd \subseteq rf$ , where  $f$  is the frontier of  $t$  in  $Dp$ .

**4.1.** Let  $r$  be a  $k$ -bi-transversal of  $D$ . The null set is central and feasible.

**Proof.** Centrality of the null set is immediate. For feasibility, consider any coboundary  $d$  in  $D$ , say in  $Dn$ . From  $rd \supseteq rQd$  and  $rp$  a  $k$ -transversal of  $Dp$ ,  $|rd| \geq |rQd| \geq k|Qd|$ . From  $rn$  a  $k$ -transversal of  $Dn$ ,  $|rd| \geq k|Cd|$ . Thus  $k|rd| = |rd| + (k-1)|rd| \geq k|Qd| + (k-1)k|Cd| = kld$  and so  $|rd| \geq ld$ . ■

For subset  $t$  of  $r$  that is central and feasible, an *augment* of  $t$  is any edge  $\alpha$  of  $r - t$  such that  $t \cup \{\alpha\}$  is also central and feasible.

**Augment Lemma:** Let  $t$  be a central feasible subset of  $k$ -bi-transversal  $r$  of  $D$ . If  $t$  is not a bi-transversal of  $D$ , then  $t$  has an augment.

The Augment Lemma, which is proved in Section 6, implies the Bi-transversal Theorem as follows. Let  $r$  be a  $k$ -bi-transversal of  $D$ . We proceed by induction on  $k$ . For  $k=1$ , the Theorem is trivially true. Assume then that  $k \geq 2$ . Let  $t$  be a

maximal central feasible subset of  $r$ . The existence of  $t$  follows from 4.1. By the Augment Lemma,  $t$  is a bi-transversal of  $D$ . Since  $t$  is feasible  $r-t$  is a  $(k-1)$ -bi-transversal of  $D$ : for any nonnull  $d$  in  $D$ ,  $|(r-t)d| \cong (k-1)|Cd| \cong k-1$ . By induction hypothesis, there is in  $r-t$  a  $(k-1)$ -packing  $T'$  of bi-transversals of  $D$ . Then  $T' \cup \{t\}$  is a  $k$ -packing in  $r$  of bi-transversals of  $D$ . The Theorem follows by induction.

## 5. Marginal coboundaries and blockers

Let  $t$  be a central feasible subset of  $k$ -bi-transversal  $r$ . A coboundary  $d$  in  $D_p$  or  $D_n$  is *marginal* if  $|(r-t)d| = ld$ . For edge  $\alpha$  in  $r-t$ , a *blocker* in  $D_n$  of  $\alpha$  is a marginal coboundary in  $D_n$  such that  $\alpha \in rd - rQd$ . A *blocker* in  $D_p$  is defined dually. From the definition of feasible, it follows that  $t \cup \{\alpha\}$  is feasible iff  $\alpha$  has no blocker either in  $D_p$  or in  $D_n$ .

**5.1.** The  $l$  function is supermodular: for  $d, d'$  in  $D_n$ ,

$$l(d \wedge d') + l(d \vee d') \cong ld + ld',$$

with equality only if  $Q(d \vee d') = Qd \cup Qd'$ .

**Proof. 1.** The cardinality of  $C$  is supermodular:

$$|C(d \wedge d')| + |C(d \vee d')| \cong |Cd| + |Cd'|.$$

To prove this, form a graph  $G$  with bipartition  $(Cd, Cd')$ , whose edges  $(a, b)$  represent the pairs  $a$  in  $Cd, b$  in  $Cd'$ , that meet, i.e.,  $a \wedge b \neq \emptyset$ . Now  $|C(d \vee d')|$  is equal to the number of components in  $B$ ;  $|C(d \wedge d')|$  is greater than or equal to the number of edges of  $B$ ; and  $|Cd| + |Cd'|$  is equal to the number of vertices of  $B$ . The asserted supermodularity relation follows from

$$|eB| + \# \text{ components } B \cong |VB|,$$

a simple fact about graphs.

**2.** The cardinality of  $Q$  is supermodular. Observe that

$$Q(d \wedge d') = Qd \cap Qd'$$

$$Q(d \vee d') \supseteq Qd \cup Qd'.$$

Adding cardinalities yields

$$|Q(d \wedge d')| + |Q(d \vee d')| \cong |Qd| + |Qd'|,$$

with equality iff  $Q(d \vee d') = Qd \cup Qd'$ .

**3.** Adding to this latter inequality  $k-1$  times the inequality for  $C$  yields the supermodularity relation for  $l$ . ■

**5.2.** If  $d$  and  $d'$  are marginal coboundaries in  $D_n$ , then the meet  $d \wedge d'$  and join  $d \vee d'$  are also marginal, and  $Q(d \vee d') = Qd \cup Qd'$ .

**Proof.** For  $d, d'$  in  $Dn$ ,

$$d \wedge d' \cap d \vee d' = d \cap d'$$

$$d \wedge d' \cup d \vee d' = d \cup d'.$$

Restrict each equivalence to its edges of  $rn - tn$  and add cardinalities:

$$|(r-t)(d \wedge d')| + |(r-t)(d \vee d')| = |(r-t)d| + |(r-t)d'|.$$

From the supermodularity of  $l$  and feasibility of  $t$ ,

$$\begin{aligned} |(r-t)d| + |(r-t)d'| &= ld + ld' \\ &\leq l(d \wedge d') + l(d \vee d') \\ &\leq |(r-t)(d \wedge d')| + |(r-t)(d \vee d')|. \end{aligned}$$

Equality holds throughout:  $d \wedge d'$  and  $d \vee d'$  are marginal and, by 5.1,  $Q(d \vee d') = Qd \cup Qd'$ .

**5.3.** Each coboundary in  $Dn - \{0\}$  disjoint from  $tn$  contains an edge in  $rn$  having no blocker in  $Dp$ .

**Proof.** If an edge has a blocker in  $Dp$ , then it must lie in  $rp$ . So the assertion holds for coboundary  $a$  in  $Dn$  unless  $ra \subseteq rp$ . Under this condition, adjust the choice of coboundary  $a$  so that it is  $p$ -minimal, i.e., a  $Q$ -coboundary. Let  $d$  be a marginal coboundary in  $Dp$  that does not shade  $a$ ; the null coboundary is one such. Adjust the choice of  $d$  so that  $pd$  is maximal. Let  $\alpha$  be any edge of  $ra - rd$ . Suppose  $d'$  is a marginal coboundary in  $Dp$  that contains  $\alpha$ . By 5.2,  $d \vee d'$  is marginal and  $Q(d \vee d') = Qd \cup Qd'$ . Now edge  $\alpha$  lies in  $r(d \vee d')$  but not in  $pd$ : the  $p$ -maximality of  $d$  implies that  $d \vee d'$  shades  $a$ . So  $a \in Q(d \vee d') - Qd \subseteq Qd'$ . Thus  $d'$  is not a blocker of  $\alpha$ . Indeed,  $\alpha$  has no blocker in  $Dp$ . ■

## 6. Proof of the Augment Lemma

Let  $t$  be a central feasible subset of  $k$ -bi-transversal  $r$  of  $D$ . Suppose  $t$  is not a bi-transversal of  $D$ . Specifically, assume  $tn$  is not a transversal of  $Dn$ . Then the frontier  $f$  of  $t$  in  $Dn$  is nonnull. For each edge  $\alpha$  in  $rf$ ,  $t \cup \{\alpha\}$  is central. We claim that there is an edge in  $rf$  that is an augment. It is sufficient that such an edge have no blocker in  $Dp$  or in  $Dn$ . By 5.3,  $rf$  contains an edge  $\alpha$  having no blocker in  $Dp$ . Suppose that  $\alpha$  has a blocker in  $Dn$ . Such a blocker is a marginal coboundary in  $Dn$  that meets  $f$ . Let  $d$  be an  $n$ -minimal marginal coboundary that meets  $f$ . An internal edge of coboundary  $d$  in  $Dn$  is any edge of  $rn \cap (nd - d) \cup rQd$ . Our second candidate for augment is any internal edge  $\beta$  of  $d$  in  $rf$ . The existence of  $\beta$  is established in part i of the following proposition.

**6.1.** Let  $q$  be a coboundary in  $Dn$  disjoint from  $tn$ . Let  $d$  be an  $n$ -minimal coboundary in  $Dn$  that meets  $q$ .

- i) There is an internal edge of  $d$  in  $rq$ .
- ii) Each such edge has no blocker in  $Dn$ .

The proof of 6.1 is given after the main argument is complete.

By part ii of 6.1,  $\beta$  has no blocker in  $Dn$ . The only alternative to  $\beta$  an augment is that it have a blocker in  $Dp$ , which can happen only if  $\beta \in rQd$ ; let  $q_1 = \cup Qd$ . This blocker is a marginal coboundary  $d_1$  in  $Dp$  that contains edge  $\beta$ . Adjust the choice of  $d_1$  so that it is a  $p$ -minimal marginal coboundary in  $Dp$  that meets  $q_1$ . Now  $q_1$  is a coboundary in  $Dp$  disjoint from  $tp$ ; by the dual of 6.1i, with  $q_1$  in the role of  $q$  and  $d_1$  in the role of  $d$ , there is an internal edge of  $d_1$  in  $rq_1$ . Choose any such edge  $\gamma$ ; this is our third candidate for augment. It has no blocker in  $Dp$ , by 6.1ii (dual). Nor does  $\gamma$  have a blocker in  $Dn$ : this follows from our previous appeal to 6.1 by observing that  $\gamma$  is an internal edge of  $d$  in  $rq$ . So  $\gamma$  is an augment of  $t$ .

The proof of the Augment Lemma is complete, except for 6.1.

**Proof of 6.1. i)** Let  $c$  be a coboundary in  $Cd$  that meets  $q$ . Since  $r(c \wedge q) = rc \cap nq \cup \cup rq \cap nc$ , either  $rq$  intersects  $nc - c$ , a subset of  $nd - d$ , and the assertion holds directly, or  $r(c \wedge q) \subseteq rc$ . Consider the latter alternative. Substitute  $c \wedge q$  for  $c$  in  $d$ , i.e., let  $d^- = (d - c) \cup c \wedge q$ . Now each coboundary in  $Qd - Qd^-$  contains at least one edge of  $(r - t)d - (r - t)d^-$ :

$$\begin{aligned} |Qd| - |Qd^-| &\equiv |(r - t)d| - |(r - t)d^-| \\ &\equiv ld - ld^- \\ &\equiv |Qd| - |Qd^-| + (k - 1)(|Cd| - |Cd^-|) \\ &\equiv |Qd| - |Qd^-|. \end{aligned}$$

Since  $d$  is marginal, so too is  $d^-$ , a  $|Cd^-| = |Cd|$  whence  $|C(c \wedge q)| = |Cc| = 1$ . By  $t$  central,  $c \wedge q$  is disjoint from  $t$ , whence  $|(r - t)c \wedge q| \equiv k$ . By  $t$  feasible and  $d$  marginal,

$$\begin{aligned} |(r - t)c \wedge q| &= |(r - t)\delta| - |(r - t)(d - c)| \\ &\equiv l\delta - l(d - c) \\ &\equiv |Q\delta| - |Q(d - c)| + k - 1, \end{aligned}$$

from which follows  $|Q\delta| > |Q(d - c)|$ . So  $d - c$  does not shade  $Qd$ , and thus  $r(c \wedge q) \cap \cap rQd \neq \emptyset$ . Since  $rQd \subseteq rp \cap rn$ , there is an internal edge of  $d$  in  $rq$ .

**ii)** For any internal edge  $\alpha$  of  $d$  in  $rq$ , consider any marginal coboundary  $d'$  in  $Dn$  that contains  $\alpha$ . By 5.2,  $d \wedge d'$  is marginal. Since  $\alpha \in nd \cap rd' \subseteq r(d \wedge d')$ , thus  $d \wedge d'$  meets  $q$ . The  $n$ -minimality of  $d$  implies that  $d \wedge d' = d$ , whence  $nd \subseteq nd'$  and  $Q(d \wedge d') = Qd$ . So  $\alpha \notin nd - d$ , whence  $\alpha \in rQd \subseteq rQd'$ . So  $d'$  is not a blocker of  $\alpha$ . Indeed,  $\alpha$  has no blocker in  $Dn$ . ■

With 6.1, the proof of the Bi-transversal Theorem is complete.

## 7. Remarks

The above proof does not translate directly to a polynomial algorithm for finding  $T^*$ . One that does is given in [11]. It builds a maximum packing  $T^*$  for  $D$  from maximum packings  $T^*p$  and  $T^*n$  of transversals of  $Dp$  and  $Dn$ , each of which is found by an analog of the Lovász algorithm [4] for disjoint branchings.



A reduction to Hall's Theorem approach was used to prove the source-sink connected case of the directed cut packing minimax equality [9]. The Hall's Theorem part of it was there treated by an alternating path approach that yielded a polynomial algorithm. This approach can be used also to relate Gupta's Theorem [3] to Hall's.

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